



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,101	08/27/2001	Mary E. Gerritsen	GENENT.072A2

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 4279

## FORMALITIES LETTER



\*OC00000006937592\*

Date Mailed: 10/19/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 ANABI1 00000048 09940101

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Attorney Docket No. GENENT.072A2  
Date: December 6, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gerritsen et al.  
Application No. : 09/940,101  
Filed : August 27, 2001  
For : ErbB4 ANTAGONISTS  
Group Art Unit : 1641  
Examiner : Unknown

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in an  
envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on

December 6, 2001

(Date)

Ginger R. Dreger, Reg. No. 33,055

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
BOX: MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR  
1.53(f), which was mailed by the Office on October 19, 2001, enclosed are:

- (X) Two Declarations and Powers of Attorney.
- (X) A Notice to File Missing Parts.

SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$130
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.	
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.	\$ N/A
TOTAL FEES SUBMITTED HERewith	\$130

- (X) A check in the amount of \$130 to cover the above fee is enclosed.
- (X) Please charge our Deposit Account No. 11-1410 for any additional fees which may be required,  
now or in the future, or credit our account for any overpayment.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: December 6, 2001

By: Ginger R. Dreger  
Ginger R. Dreger, Registration No. 33,055  
Attorney of Record